

**CERTIFICATION UNDER 37 C.F.R. § 1.10**

I hereby certify that this Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date August 22, 2003 in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. EL 897 897 191 US to:
Mail Stop PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450.

Michael K. Kinney

Name of Person Mailing Paper

Signature of Person Mailing Paper

Mail Stop PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

APPLICATION TRANSMITTAL UNDER 37 C.F.R. § 1.53(b)

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the patent application of:

Inventor: David M. Bohannon

For: **SYSTEM AND METHOD FOR MONITORING AND
CONDUCTING TRANSACTIONS OF OBJECTS OF VALUE**1. Type of Application☒ Original ☐ Continuation ☐ Divisional ☐ Continuation-In-Part2. Benefit of Prior Foreign Application(s) (35 U.S.C. § 119)☐ The new application being transmitted claims the benefit of:☐ prior foreign application serial no. _____, filed on _____ in
_____ (country); or☐ PCT international application designating at least one country other than the U.S.
application serial no. _____, filed on _____.A certified copy is ☐ enclosed, ☐ not enclosed or ☐ on file in the prior application.3. Benefit of Prior U.S. Application(s) (35 U.S.C. § 120 and §119(e))☒ The new application being transmitted claims the benefit of prior copending U.S. Provisional Patent Applications, Serial No. 60/405,523 filed on August 23, 2002 and Serial No. 60/422,269 filed on October 29, 2002.

4. Papers Enclosed Which Are Required for Filing Under 37 C.F.R. § 1.53(b)

| | |
|----|---|
| 25 | page(s) of Specification |
| 2 | page(s) of Claims, having <u>8</u> claims, including <u>1</u> independent and <u>7</u> dependent claims |
| 1 | page(s) of Abstract |
| 49 | Sheet(s) of 27 Figures |

5. Additional Papers Enclosed

- ☒ Return Receipt Postcard
☐ Preliminary Amendment (pages)
☐ Information Disclosure Statement (1 page)
☐ Form PTO-1449 (2 pages)
☐ Copies of Cited Art
☐ Priority Document and Certified Copy
☐ Form PTO-1595 (Assignment Recordation)
☐ Nonpublication Request and Certification
☐ Other:

6. Declaration

An executed Declaration is: ☒ enclosed ☐ not enclosed.

7. Assignment

An Assignment is: ☒ enclosed ☐ not enclosed

☒ Enclosed is a recordation form and an assignment of the invention to Secured Marine Trust, LLC, a limited liability company of the State of Connecticut.

8. Small Entity Status

☒ Applicant claims Small Entity status.

9. Fee Calculation (37 C.F.R. § 1.16)

| CLAIMS AS FILED OR AS AMENDED BY ANY ENCLOSED PRELIMINARY AMENDMENT | | | | | |
|---|---------------------------------|-----|---|----------|--------------------|
| | Number Filed Minus Number Extra | | | Rate | Basic Fee \$750.00 |
| Total Claims | 8 | -20 | 0 | \$18.00 | \$ 0.00 |
| Independent claims | 1 | -3 | 0 | \$84.00 | \$ 0.00 |
| Multiple Dependent claims, if any: | | | | \$280.00 | \$ 0.00 |
| Total | | | | | \$ 750.00 |
| Reduction for small entity by 50% | | | | | \$ 375.00 |
| TOTAL FILING FEE | | | | | \$ 375.00 |

10. Method of Payment of Fees

☐ Check in the amount of \$ _____

☒ Charge Account No. 23-1665 in the amount of \$ **375.00**

11. Authorization to Charge Additional Fees

☒ The Commissioner is hereby authorized to charge any deficiencies or additional fees which may be required under 37 C.F.R. 1.16, 1.17, and 1.18, or credit any overpayment to Account No. 23-1665.

12. Correspondence

Please address all correspondence to:

Customer Number 27267:



27267

PATENT TRADEMARK OFFICE

Michael K. Kinney, Esq.

(Name of Attorney)

Signature of Attorney, Reg. No. 42,740

Date: August 22, 2003

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